



# Size-resolved measurements of brown carbon in water and methanol extracts and estimates of their contribution to ambient fine-particle light absorption

J. Liu<sup>1</sup>, M. Bergin<sup>1,2</sup>, H. Guo<sup>1</sup>, L. King<sup>1</sup>, N. Kotra<sup>2</sup>, E. Edgerton<sup>3</sup>, and R. J. Weber<sup>1</sup>

<sup>1</sup>School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta, GA, USA

<sup>2</sup>School of Civil & Environmental Engineering, Georgia Institute of Technology, Atlanta, GA, USA

<sup>3</sup>Atmospheric Research and Analysis, Inc, Cary, N.C., USA

Correspondence to: R. Weber (rodney.weber@eas.gatech.edu)

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**Abstract.** Light absorbing organic carbon, often called brown carbon, has the potential to significantly contribute to the visible light-absorption budget, particularly at shorter wavelengths. Currently, the relative contributions of particulate brown carbon to light absorption, as well as the sources of brown carbon, are poorly understood. With this in mind size-resolved direct measurements of brown carbon were made at both urban (Atlanta), and rural (Yorkville) sites in Georgia. Measurements in Atlanta were made at both a representative urban site and a road-side site adjacent to a main highway. Fine particle absorption was measured with a multi-angle absorption photometer (MAAP) and seven-wavelength Aethalometer, and brown carbon absorption was estimated based on Mie calculations using direct size-resolved measurements of chromophores in solvents. Size-resolved samples were collected using a cascade impactor and analyzed for water-soluble organic carbon (WSOC), organic and elemental carbon (OC and EC), and solution light-absorption spectra of water and methanol extracts. Methanol extracts were more light-absorbing than water extracts for all size ranges and wavelengths. Absorption refractive indices of the organic extracts were calculated from solution measurements for a range of wavelengths and used with Mie theory to predict the light absorption by fine particles comprised of these components, under the assumption that brown carbon and other aerosol components were externally mixed. For all three sites, chromophores were predominately in the accumulation mode with an aerodynamic mean diameter of 0.5  $\mu\text{m}$ , an optically effective size range resulting in predicted

particle light absorption being a factor of 2 higher than bulk solution absorption. Mie-predicted brown carbon absorption at 350 nm contributed a significant fraction (20 to 40 %) relative to total light absorption, with the highest contributions at the rural site where organic to elemental carbon ratios were highest. Brown carbon absorption, however, was highest by the roadside site due to vehicle emissions. The direct size-resolved measurement of brown carbon in solution definitively shows that it is present and optically important in the near-UV range in both a rural and urban environment during the summer when biomass burning emissions are low. These results allow estimates of brown carbon aerosol absorption from direct measurements of chromophores in aerosol extracts.

## 1 Introduction

Light absorbing components of atmospheric aerosols influence the planetary radiation budget and climate by direct absorption of radiation and through secondary routes that include influences on cloud optics and dynamics, and snow or ice albedo (Bond et al., 2013). Uncertainties associated with light absorbing aerosol forcing contribute to uncertainty in climate change estimates (IPCC, 2007). Black carbon (BC), largely comprised of elemental carbon (EC), is the strongest of the light-absorbing aerosol components (Bond, 2001; Moosmuller et al., 2009, and references therein). Other absorbing components include mineral dust (e.g., hematite)

(Sokolik and Toon, 1999) and certain, mostly unidentified (Zhang et al., 2013), constituents of organic matter. Both, preferentially absorb radiation in the lower visible to near-UV wavelength range due to a wavelength-dependent imaginary component of the refractive index. As a group, light-absorbing organic aerosols have been referred to as brown carbon (Andreae and Gelencser, 2006).

Brown carbon has both primary and secondary sources. Particles from incomplete and smoldering combustion of hydrocarbons, especially those associated with biomass or bio-fuel burning, can contain substantial amounts of brown carbon (Chakrabarty et al., 2010; Hoffer et al., 2006; Kirchstetter et al., 2004; Kirchstetter and Thatcher, 2012; Lack et al., 2012; Lukacs et al., 2007; Qin and Mitchell, 2009; Hecobian et al., 2010). Brown carbon also has secondary sources (e.g., Andreae and Gelencser, 2006; Chakrabarty et al., 2010; Chen and Bond, 2010; Nakayama et al., 2010; Sareen et al., 2010; Shapiro et al., 2009; Bones et al., 2010; Bateman et al., 2011; Nguyen et al., 2012; de Haan et al., 2009; Zhang et al., 2011, 2013). Laboratory studies show the production of brown carbon through a variety of formation processes, such as low-temperature oxidation of biogenic materials (e.g., lignin) and their polymerization products, reactions of organic compounds in acidic solutions (Sareen et al., 2010), heterogeneous reactions from dienes like isoprene in the presence of sulfuric acid (Limbeck et al., 2003), and a variety of additional aqueous phase reactions (Updyke et al., 2012; Chang and Thompson, 2010).

The mass absorption efficiency of brown carbon increases rapidly from long to shorter wavelengths (Alexander et al., 2008), beginning to absorb near the middle of the visible spectrum (550 nm). Attenuation of ultraviolet radiation by brown carbon may, in certain situations, suppress photochemistry (Jacobson, 1999; Bahadur et al., 2012) and some studies have suggested brown carbon could affect the planetary radiation balance and climate by direct absorption (Bahadur et al., 2012; Chung et al., 2012; Feng et al., 2013; Park et al., 2010), or through dissolution into cloud droplets resulting in homogeneous absorbing droplets (Fuzzi et al., 2006).

Measuring spectrophotometric properties of aerosol water or filter extracts is a highly sensitive approach to quantify the presence of brown carbon (Hecobian et al., 2010; Kieber et al., 2006; Lukacs et al., 2007; Zhang et al., 2011). Two years of filter extract measurements in Europe showed brown carbon mainly associated with biomass burning tracers (Lukacs et al., 2007). Kieber et al. (2006) found that chromophores were ubiquitous in rainwater collected in the southeastern US and concluded they were associated with continental sources. Brown carbon was also found in PM<sub>2.5</sub> particles throughout the southeastern US, where the light-absorbing fraction of water-soluble organic carbon (WSOC) was mainly related to biomass burning in winter and apparently secondary sources during summer (Hecobian et al., 2010; Zhang et al., 2011). Secondary sources of brown carbon are especially prevalent in urban environments with high anthropogenic emissions.

Water-extract mass absorption efficiencies (absorption of water soluble carbon at 365 nm per WSOC mass concentration) were 6 to 7 times higher in Los Angeles compared to Atlanta (Zhang et al., 2011), the former an urban environment dominated by anthropogenic SOA. These studies of brown carbon in aerosol extracts (solutions) have identified the ubiquitous extent of fine particle brown carbon and explored their sources and chemistry, but do not address the possible optical importance.

Optical instruments that measure particle light-absorption coefficients ( $b_{ap}$ , a nomenclature list is provided in Appendix A) typically operate at a limited number of wavelengths and quantify the “total” absorption, which depending on emissions includes varying proportions of black carbon (BC), brown carbon and mineral dust (Sandradewi et al., 2008). Quantifying brown carbon by difference can be difficult due to the typically large contribution of BC. Particle morphology can also complicate the measurement of brown carbon, such as possible amplifying of BC absorption by lensing effects involving scattering shells over absorbing cores (Bond et al., 2006; Cappa et al., 2012; Lack and Cappa, 2010). In contrast, light absorption measured from liquid extracts does not suffer from interference by BC or other absorbers, since they can be isolated by dissolution, thereby permitting a direct measurement of brown carbon. Spectrophotometers that measure soluble brown carbon can also provide highly spectrally resolved data (1 nm wavelength resolution) over wide wavelength ranges (e.g., 200 to 900 nm), an advantage over several fixed wavelengths associated with optical instruments (Hecobian et al., 2010). Furthermore, when combined with a long-path absorption cell, spectrophotometry of aerosol extract solutions is a highly sensitive measurement. By performing size-resolved measurements of brown carbon and using the bulk solution light-absorption measurements to estimate the absorbing refractive index, we investigate the optical importance of water and methanol-soluble brown carbon absorption predicted with the Mie theory and compare it to measurements of BC made with standard optical instruments.

## 2 Methods

### 2.1 Sampling sites

Sampling was carried out at several sites in and around Atlanta, GA. This included two SEARCH (southeastern Research and Characterization Experiment) sites (Hansen et al., 2006); Jefferson Street (JST), an urban site not significantly influenced by any strong local sources, and Yorkville (YRK), the SEARCH rural pair to JST located approximately 80 km west (generally upwind) of Atlanta. A third Road-Side site (RS), was situated within 2 m of a major roadway in central Atlanta (interstates 75 and 85, 8 lanes each direction) that has restricted heavy-duty diesel truck access

**Table 1.** Mean and standard deviation of parameters measured with online instruments (except for EC) during MOUDI sampling periods at various sites.

Start time	Stop time	Location	Temperature, °C	RH, %	PM <sub>2.5</sub> , µg m <sup>-3</sup>	OC, µgC m <sup>-3</sup>	EC*, µgC m <sup>-3</sup>	OC/EC* ratio	BC (Avg of, all Aeth. λ), µgC m <sup>-3</sup>	WSOC, µgC m <sup>-3</sup>
5/17/12, 16:45	5/20/12, 15:00	JST	22.5 ± 3.5	55.2 ± 13.3	14.63	4.39	0.81	5.4	0.77	2.38
5/25/12, 12:00	5/27/12, 20:00	JST	28.6 ± 3.4	46.8 ± 11.4	18.96	4.61	0.82	5.6	0.93	2.89
6/15/12, 11:35	6/18/12, 13:30	YRK	23.8 ± 3.4	55.7 ± 12.6	10.70	4.43	0.38	11.7	0.45	2.44
6/21/12, 11:10	6/23/12, 11:50	YRK	27.2 ± 3.1	57.8 ± 11.7	16.09	5.24	0.44	11.9	0.44	2.72
6/27/12, 12:00	6/29/12, 13:55	YRK	28.9 ± 4.5	44.2 ± 15.1	17.73	7.26	0.54	13.4	0.55	4.36
9/26/12, 12:00	9/29/12, 8:40	RS	24.0 ± 3.7	58.3 ± 16.8	13.36	6.66	1.42	4.7	NAN	2.99

JST: Jefferson Street, a central urban site in Atlanta. YRK: the rural pair to JST. Both JST and YRK are part of the SEARCH network. RS: Road-side site. NAN: no data.

\* EC data from MOUDI filter measurements since online thermal EC data were not available due to instrument issues.

(Georgia Department of Transportation estimates approximately 320 000 vehicles per day, with 16 000 trucks; also see Yan et al., 2011, and references therein). At all three sites, measurements were made with a suite of online and offline instruments that were moved from site-to-site during the summer and fall of 2012.

## 2.2 Offline measurements

A 10 stage Micro-Orifice Uniform Deposit Impactor (MOUDI, MSP Corp., Shoreview, MN, USA) operated in non-rotating mode was used to collect size-resolved aerosols for subsequent analysis. Theoretical MOUDI 50 % aerodynamic diameter cut sizes of the stages are 10.00, 6.20, 3.10, 1.80, 1.00, 0.62, 0.31, 0.18, 0.10, and 0.056 µm. The impactors are followed by an after filter. All MOUDI samplers were located outside so that particle size selection occurred at ambient temperature and RH. The samples were collected onto impaction plates of prebaked 47 mm quartz filters (Pall Life Sciences, Ann Arbor, MI) at a flow rate of nominally 30 L min<sup>-1</sup> for roughly 60 h. A punch from each filter was taken for the analysis of OC and EC, and the remaining portion was divided into two halves, one extracted in high purity water the other methanol. Since the MOUDI was not operated in rotation mode during sampling, the non-uniformity of deposits on the quartz impaction filters was accounted for when dividing the filters for subsequent analysis by counting deposits from each jet, for stages of cut size 0.31 µm and up. For stages below, the deposits were considered uniform and the fraction of the filter analyzed was determined from fractional area. In all, six complete MOUDI samples were collected under sunny, precipitation free periods, summarized in Table 1. For every sample run, two quartz filter field blanks were included and data were blank corrected.

Organic carbon (OC) and elemental carbon (EC) were quantified with a Sunset OCEC Analyzer (Sunset Laboratory Inc., Tigard, OR) following the NIOSH protocol (NIOSH, 1996). In this analysis, non-uniform MOUDI deposits hinder the optical determination of OC/EC split based on laser attenuation, so a specified split of 500 s (corresponding temperature of 700 °C) was used based on the temperature split

determined by the standard thermal-optical transmittance method (i.e., laser signal reaches its initial value) from regular quartz filter samples collected during the study period. OC and EC blanks ranged from 0.03 to 0.1 µgC m<sup>-3</sup>, and below limit of detection (LOD) to 0.003 µgC m<sup>-3</sup>, respectively, with uncertainties estimated as 13 % for OC and 16 % for EC based on blank variability (1σ), uncertainties in flow rates and OC/EC split determination (various measurement uncertainties are summarized in Table 2).

Halved quartz impaction plates and after filters were extracted in high purity water (> 18 Mohm) and methanol (VWR International, A.C.S. Grade), by 30 min of sonication. All extracts were then filtered through a 25 mm diameter 0.45 µm pore syringe filter (Fisher Scientific, Fisherbrand\* Syringe Filters) to remove insoluble components and filter remnants generated during the extraction process. Water extracts were transferred into a coupled LWCC-TOC (Liquid Waveguide Capillary Cell-Total Organic Carbon) system to determine the water-soluble light-absorption spectra and water-soluble organic carbon (WSOC) mass following the method by Hecobian et al. (2010). LOD of water-soluble brown carbon at 365 nm was 0.031 Mm<sup>-1</sup> and for WSOC 0.084 µgC m<sup>-3</sup>, with estimated uncertainties of 21 % and 9 %, respectively, mainly due to blank variability (1σ).

For the methanol extracts, only light-absorption spectra were analyzed (i.e., methanol soluble brown carbon) since the use of an organic solvent prohibits determining carbon mass (TOC) in these solutions. Estimated LOD for methanol-soluble brown carbon at 365 nm is 0.11 Mm<sup>-1</sup> with an uncertainty of 27 % due to blank variability (1σ).

## 2.3 Online measurements: MAAP, Aethalometer, OC, EC, WSOC, water-soluble brown carbon and TEOM (PM<sub>2.5</sub>)

A suite of online measurements were made at the same time and location as the MOUDIs as part of another study (EPASCAPE), which included PM<sub>2.5</sub> mass by TEOM, Sunset Labs OC and EC, PILS-WSOC and water-soluble absorption, and BC measured by a multi-angle absorption photometer (MAAP) and 7-wavelength Aethalometer. The OCEC,

**Table 2.** Summary of estimated uncertainties for various species reported. In all cases where variability was used to estimate an uncertainty, 1 standard deviation is used.

Instruments/analysis	Species measured/reported	Uncertainty, %
Sunset OC EC	OC (offline/online) <sup>1</sup>	13/28
Sunset OC EC	EC (offline) <sup>2</sup>	16
TOC	WSOC (offline/online) <sup>3</sup>	9/7
LWCC-Spectrophotometer	H <sub>2</sub> O_Abs(365) (offline/online) <sup>4</sup>	21/20
LWCC-Spectrophotometer	MeOH_Abs(365) <sup>5</sup>	27
TEOM (1 h)	PM <sub>2.5</sub> mass	30
MAAP	BC or $b_{ap}^6$ MAAP	12
Aethalometer	BC <sup>6</sup>	4
Scattering correction	Aeth BC $b_{ap}^7$	35
Mie calculation	$b_{ap}$ of EC and BrnC <sup>8</sup>	30
Combined uncertainties in calculated parameters		
$b_{ap\_Aeth}^9$		36
$b_{ap\_EC}^{10}$		34
$b_{ap, H_2O}$ (at 365 nm) <sup>11</sup>		38
$b_{ap, MeOH}$ (at 365 nm) <sup>12</sup>		42
H <sub>2</sub> O_brnC ratio <sup>13</sup>	relative to $b_{ap\_Aeth} / b_{ap\_EC}$	52/51
MeOH_brnC ratio <sup>14</sup>	relative to $b_{ap\_Aeth} / b_{ap\_EC}$	56/54

<sup>1,2</sup> Uncertainty calculated as quadrature sum of relative errors in: filter sampling air volume (flow rate × sampling time), blank filters, field blanks, standard deviation from duplicate measurements on same filter, and uncertainties associated with the OC/EC split determination.

<sup>3</sup> Uncertainty includes relative errors in: filter sampling air volume (flow rate × sampling time), liquid volume of solvents, solvent blanks, field blanks, the variability in calibration standards, and standard deviation from duplicate standards.

<sup>4,5</sup> Uncertainty includes relative errors in: filter sampling air volume (flow rate × sampling time), liquid volume of solvents, solvent blanks, field blanks, and standard deviation from duplicate samples.

<sup>6</sup> Uncertainty includes uncertainty of instrument reported by manufacture and variation in flow rates.

<sup>7</sup> Uncertainty estimated by combining uncertainties of all factors in scattering correction.

<sup>8</sup> Relative uncertainty associated with variables used in the Mie calculation, including that aerosols in each stage were assumed to have the same size, the selection of organic mass vs. particle mass, real part of the refractive index, and sensitivity to density ( $\rho$ ), both in Mie calculation and conversion from MOUDI aerodynamic sizes to physical sizes.

<sup>9</sup> Combined uncertainty of 6, and 7. <sup>10</sup> Combined uncertainty of 2 and 8.

<sup>11</sup> Combined uncertainty of 3, 4, and 8. <sup>12</sup> Combined uncertainty of 1, 5, and 8.

<sup>13</sup> Combined uncertainty of 10 and 11. <sup>14</sup> Combined uncertainty of 10 and 12.

WSOC and solution light absorption were measured via identical methods to the offline analysis of the MOUDI samples (except, a 1 m path length LWCC was used for the online system vs. a 2.5 m path length LWCC for the offline analysis, World Precision Instruments).

The TEOM (Thermo Scientific, model 1400a) was operated at a temperature of 30 °C with a Nafion dryer (Perma Pure, Toms River, NJ) upstream of the sensor, which reduced the RH to below 40 %. The detection limit and measurement uncertainty is estimated as 0.5  $\mu\text{g m}^{-3}$  and 30 % (for an hourly average), respectively.

PM<sub>2.5</sub> OC and EC were determined with a Sunset Labs OC/EC analyzer (Model 3F, Forest Grove, OR), which operated at a 45 min sampling period followed by 15 min of analysis. A parallel plate carbon denuder (Eatough et al., 1993) upstream of the instrument was installed to reduce positive sampling artifacts from volatile gases. Systematic blank measurements were made throughout the study period by in-

stalling a Teflon filter (47 mm diameter, 2.0  $\mu\text{m}$  pore size, Pall Life Sciences) on the cyclone inlet on a daily basis. A linear interpolation was applied between consecutive blanks and blanks subtracted from the ambient data. OC blanks ranged from 0.44 to 1.05  $\mu\text{gC m}^{-3}$  and optical EC blanks varied from 0.02 to 0.03  $\mu\text{gC m}^{-3}$ . Uncertainty due to random measurement errors is estimated to be 28 %.

The measurement of online WSOC and light absorption was provided by a PILS-LWCC-TOC system. A Particle-Into-Liquid Sampler (PILS) that continuously transfers ambient PM<sub>2.5</sub> aerosol to an aqueous flow of purified water was coupled in series with a long-path UV/VIS spectrophotometer (DT-Mini-2 light source and USB4000 spectrometer, both from Ocean Optics, Dunedin, FL) for measurement of soluble aerosol light-absorption spectra (200–800 nm), followed by a TOC analyzer to obtain the WSOC concentration, similar to the method of Hecobian et al. (2010). PILS-generated liquid sample was filtered with a Whatman 25 mm filter

(Paradisc Disposable Syringe Filters, 0.2  $\mu\text{m}$  core size) to remove any insoluble aerosol components larger than 0.2  $\mu\text{m}$ . Complete absorption spectra (200–800 nm) were recorded every 15 min. Every three days, the LWCC was cleaned using 0.6 N solution of HCl and Milli-Q (> 18 M $\Omega$ ) DI water. Following cleaning, the baseline was zeroed using the Spectra-Suite software so that zero absorption was recorded at all wavelengths for milli-Q (> 18 M $\Omega$ ) DI water, or pure methanol solvent (only for offline determination). For the offline system, this cleaning and zeroing was done prior to every sample. Similar to online OCEC measurement, an automated valve that directed sample air-flow through a Teflon filter performed dynamic blanks three times every day and a linear interpolation between successive blanks was assumed to blank correct the online measurements. Instrument LOD and measurement uncertainty are estimated at 0.20  $\mu\text{gC m}^{-3}$  and 7 % for WSOC, 0.1 Mm $^{-1}$  and 20 % for water-soluble absorption at 365 nm, respectively.

Online black carbon (BC) was measured with an Aethalometer (Magee Scientific, Berkeley, CA) and MAAP (Thermo-Scientific model 5012), both based on measurements of light attenuation through a particle-laden filter. The Aethalometer operates at seven wavelengths covering the ultra-violet to the near-infrared wavelength range (370, 450, 571, 590, 660, 880, and 950 nm). Data interpretation requires scattering corrections, which are discussed further below. The MAAP operates at a single wavelength of 670 nm and is designed to account for scattering error when measuring BC; no corrections are applied in this case. MAAP  $b_{\text{ap}}$  uncertainty is estimated at 12 % (Petzold and Schönlinner, 2004). (Aethalometer measurement uncertainties are discussed below). The MAAP was operated only at the JST site and the Aethalometer was operational only at the JST and YRK sites.

## 2.4 Data interpretation: Aethalometer scattering correction and Mie theory calculation

### 2.4.1 Aethalometer scattering correction

As the MAAP corrects for scattering, absorption ( $b_{\text{ap\_MAAP}}$ ) was determined directly from the instrument's reported BC concentration using the fixed mass absorption coefficient of 6.6 m $^2 \text{g}^{-1}$  at 670 nm (see acronyms listed in Appendix A). The Aethalometer  $b_{\text{ap}}$  ( $b_{\text{ap\_Aeth}}$ ) was corrected for multiple scattering based on the combination of two correction schemes: Weingartner et al. (2003) and Jung et al. (2010) by

$$b_{\text{ap}} = \frac{B_{\text{atn}}}{C \times R_{\text{atn}}} \quad (1)$$

$$R_{\text{atn}} = \left( \frac{1}{f} - 1 \right) \times \frac{\ln(\text{ATN}) - \ln(10\%)}{\ln(50\%) - \ln(10\%)} + 1 \quad (2)$$

$$f = a(1 - w_0) + 1 \quad (3)$$

$$C = 4.05 \times \left( \frac{[\text{BC}]}{[\text{EC}]} \right) - 1.55, \quad (4)$$

where  $B_{\text{atn}}$  is directly derived from the BC concentration reported by the instrument by  $B_{\text{atn}} = BC \times \frac{14.625}{\lambda}$  (unit: BC in  $\text{ng m}^{-3}$ ,  $B_{\text{atn}}$  in  $\text{m}^{-1}$ ),  $R_{\text{atn}}$  (unitless) is a calculated parameter based on Eq. (2),  $f$  is an empirical factor that depends on parameter  $a$ , which is equal to 0.87 at 660 nm, and estimated single scattering albedo,  $w_0$ , the ratio of scattering to scattering plus absorption. As there was no direct measurement of scattering on-site during the MOUDI sampling periods, scattering was inferred from TEOM PM $_{2.5}$  data. The linear regression slope of scattering (at 530 nm) and TEOM PM $_{2.5}$  reported in Carrico et al. (2003) was applied to our TEOM data to estimate scattering, then a scattering Ångström exponent of 1.4 (Yang et al., 2009) was used to estimate the scattering at 670 nm and the single scattering albedo. The estimated single scattering albedo ranged from 0.85–0.91. The correction factor  $C$  depends on the aerosol type and was determined from the hourly measured EC and online BC averaged over the EC sampling time. Aethalometer  $b_{\text{ap}}$  uncertainty, including scattering correction, is estimated to be 36 % due to uncertainty in a range of parameters, including measurements of BC, EC, TEOM PM $_{2.5}$ , and related uncertainties associated with the scattering estimation. The magnitude of the scattering correction factor was  $3.75 \pm 0.83$  (mean  $\pm$  standard deviation).

For comparison,  $b_{\text{ap\_Aeth}}$  at 660 nm was converted to the MAAP operational wavelength of 670 nm based on an absorption Ångström exponent determined from the Aethalometer multiple wavelength measurements (i.e., power law regression fit). For the JST measurements, when both MAAP and Aethalometer data were available, the scattering-corrected  $b_{\text{ap\_Aeth}}$  agreed with  $b_{\text{ap\_MAAP}}$  (Fig. 1, regression slope of 1.02,  $r^2 = 0.95$ ), indicating the scattering correction at 670 nm is reasonable.

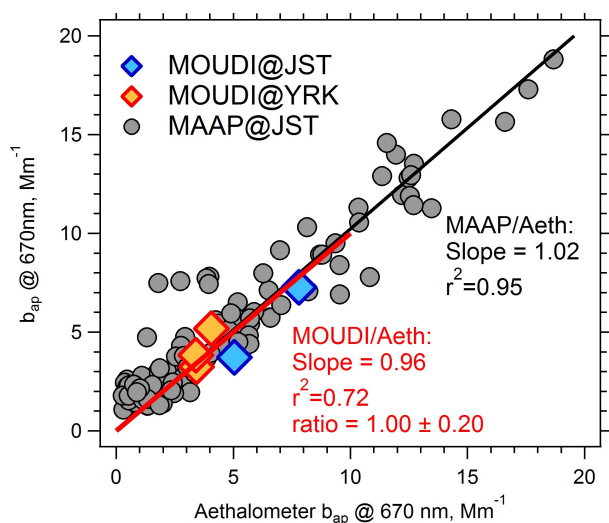
### 2.4.2 Mie theory calculations

An absorption measurement of solutions containing aerosol extracts is not directly comparable with absorption by ambient aerosols. However, the complex part of refractive indices can be obtained from the solution light-absorption data and used with Mie theory to infer the brown carbon absorption by ambient aerosols, under a range of assumptions.

Spectrophotometric measurements of the solution light absorbance at a given wavelength ( $A_\lambda$ , unitless) can be used to determine the bulk solution mass absorption efficiency ( $\alpha / \rho$ ) by

$$\frac{\alpha(\lambda)}{\rho} = \frac{(A_\lambda - A_{700})}{M} \cdot \frac{V_1}{V_a \cdot l} \cdot \ln(10) = \frac{\text{Abs}(\lambda)}{M} \quad (5)$$

Measurements at wavelengths below 700 nm are referenced to absorbance at 700 nm ( $A_\lambda - A_{700}$ ) to account for any uniform signal drift that may occur over time after LWCC cleaning and zeroing to reference (DI) spectra (only necessary for the online system since offline system was cleaned and zeroed between each measurement).  $V_1$  is the liquid volume



**Fig. 1.** Comparison of absorption at 670 nm between scattering-corrected Aethalometer and MAAP for 1 h averaged data (grey filled circles) and Aethalometer absorption averaged over MOUDI sampling times to absorption determined from the EC size distribution and Mie theory (diamonds). An orthogonal distance regression (ODR) fit was applied.

the filter is extracted into and  $V_a$  the volume of air sampled through the filter. The waveguide optical path length is  $l$  and  $\ln(10)$  converts from base 10 (the form provided by the spectrophotometer) to natural logarithms.  $\text{Abs}(\lambda)$  is then the solution absorption at a given wavelength (units  $\text{Mm}^{-1}$ ).  $M$  is the mass concentration used to determine the bulk solution mass absorption efficiency ( $\text{Abs}(\lambda)/M$  in units of  $\text{m}^2 \text{g}^{-1}$ ). In this work  $M$  is taken to be the ambient WSOC concentration for the water extract and ambient OC concentration for the methanol extract. The complex component ( $k$ ) of the particle refractive index  $m = n + ik$  can then be calculated from Eq. (5) (Bohren and Hoffman, 1998; Chen and Bond, 2010; Sun et al., 2007) for the water and methanol extracts by

$$k = \frac{\rho\lambda \left( \frac{\alpha(\lambda)}{\rho} \right)}{4\pi} = \frac{\rho\lambda \cdot \text{H}_2\text{O\_Abs}(\lambda)}{4\pi \cdot \text{WSOC}} \quad (6)$$

for water extracts

$$k = \frac{\rho\lambda \left( \frac{\alpha(\lambda)}{\rho} \right)}{4\pi} = \frac{\rho\lambda \cdot \text{MeOH\_Abs}(\lambda)}{4\pi \cdot \text{OC}} \quad (7)$$

for methanol extracts,

where  $\text{H}_2\text{O\_Abs}(\lambda)$  and  $\text{MeOH\_Abs}(\lambda)$  are the solution absorption at a given wavelength for the water and methanol extracts (i.e.,  $\text{Abs}(\lambda)$  for specific solvents), and WSOC and OC are measured organic carbon mass from ambient collected filters. Note that using a mass ( $M$ ) of WSOC or OC ( $\mu\text{gC m}^{-3}$ ) results in reported  $k$  values for organic carbon mass, not particle mass, and in subsequent Mie calculations of brown carbon absorption, these same mass concentrations

must be used for consistency. Alternatively, one could use the total particle mass (PM) measured on each stage (not measured in this study). Since  $\text{PM/WSOC}$  and  $\text{PM/OC}$  mass fractions ( $\mu\text{gC } \mu\text{g}^{-1}$ ) likely range from roughly 2 to 4,  $k$  would decrease by similar factors if PM were used instead. Sensitivity tests show that there is at most a 20% difference in Mie-predicted carbon absorption if PM is used instead of carbon mass. In this study, a particle density ( $\rho$ ) of  $1.5 \text{ g cm}^{-3}$  for both water and methanol extracts for all particle sizes is assumed. Mie calculated  $b_{\text{ap}}$  is largely insensitive to the value chosen; sensitivity tests with our data show a 10% difference in density results in  $\sim 6\%$  change in  $b_{\text{ap}}$  for EC or brown carbon (methanol or water) calculations. Particle density is also used to convert aerodynamic diameters to physical particle diameters needed in the Mie calculation, assuming spherical particles. This added uncertainty is discussed below. For all data collected, the real part of the refractive index ( $m$ ) was set to 1.55 for all wavelengths, representative of the organic-sulfate mix that comprises fine particles in the study region (Carrico et al., 2003). An uncertainty analysis shows that the relative change in estimated  $b_{\text{ap}}$  due to  $m$  (ranging from 1.4 to 2.0) is within 18%.

For each MOUDI stage (and after filter), and for each extract solution, the refractive index was determined and used in a Mie theory calculation to estimate the single particle absorption efficiency ( $Q$ ) for a particle of size  $D_{\text{pg}}$ , the geometric mean of physical diameter for that stage. The light-absorption coefficient for all particles of that size is then estimated by

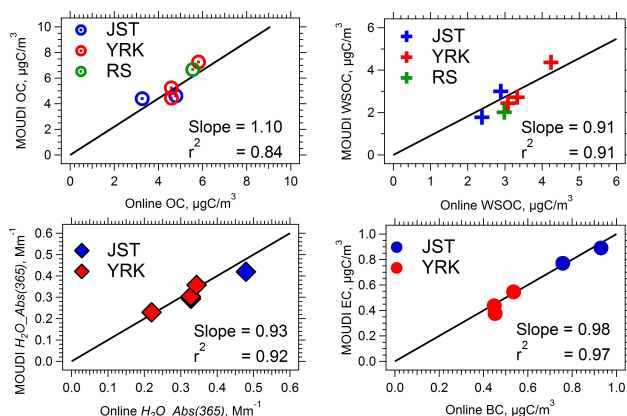
$$b_{\text{ap}}(\lambda, D_{\text{pg}}) = \frac{\pi}{4} \cdot D_{\text{pg}}^2 \cdot Q \cdot N, \quad (8)$$

where  $N$  is the particle number concentration. Since  $N$  is not known, the mass concentration,  $M$  for the given MOUDI stage, is used to estimate  $N$ , assuming spherical particles, which gives

$$b_{\text{ap}}(\lambda, D_{\text{pg}}) = \frac{3}{2} \cdot \frac{Q \cdot M}{D_{\text{pg}} \cdot \rho}. \quad (9)$$

For consistency with the calculated complex refractive index ( $k$ ),  $M$  is either the WSOC or OC ambient concentration determined from the MOUDI measurement. The calculation is repeated for all stages with cut sizes  $3.1 \mu\text{m}$  and below, including the after filter, and then summed to obtain the total fine particle light-absorption coefficient at a given wavelength. The process is repeated for other wavelengths of interest.

This analysis makes a number of simplifying assumptions, including that the particles are spherical and of uniform composition, but externally mixed with other absorbing aerosol components (e.g., EC). As a test of the method, Mie calculations, under similar assumptions, are also made to estimate the absorption by pure BC at 670 nm based on MOUDI size-resolved EC measurements and are compared to Aethalometer and MAAP measurements of BC  $b_{\text{ap}}$  for the JST data.



**Fig. 2.** Comparison of offline fine particle MOUDI OC, EC, WSOC, water-extracted absorption at 365 nm and online OC, BC, WSOC, and water-soluble absorption at 365 nm using orthogonal distance regressions with slopes forced through zero. Online data are PM<sub>2.5</sub> and MOUDI summed over all stages of 3.1 µm cut and below (plus after filter). The sites are JST (urban), YRK (rural) and RS (roadside). No online H<sub>2</sub>O<sub>Abs</sub> or BC was available at the RS site during the MOUDI sampling period.

Aethalometer-measured absorption at lower wavelengths is then compared to water and methanol brown carbon  $b_{ap}$  derived from a similar Mie calculation.

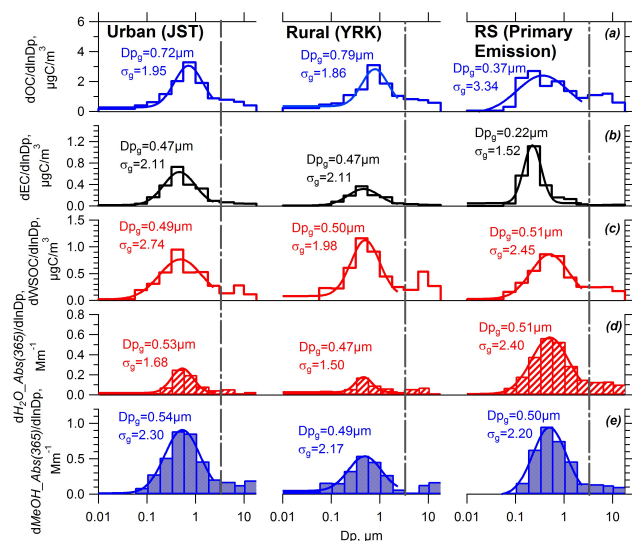
### 3 Results

#### 3.1 MOUDI vs. online data; data quality assessment

Off-line MOUDI data were integrated over stages of aerodynamic cut size 3.1 µm and smaller (including the after filter) and compared to the PM<sub>2.5</sub> online measurements, averaged over MOUDI sampling times. Comparisons for WSOC, OC, EC, and H<sub>2</sub>O<sub>Abs</sub>(365) (i.e., at a wavelength of 365 nm) are shown in Fig. 2. (Note, only water extracts were available for the online method, so no comparison of MeOH<sub>Abs</sub>(365) is available). There is good agreement between the online and offline methods. For all four components the correlations ( $r^2$ ) were greater than 0.84 and slopes within 10% of one, well within the instrumental measurement uncertainties of roughly 10 to 30% (Table 2).

#### 3.2 MOUDI size distributions

A summary of MOUDI sampling dates and times, and average conditions measured with the various instruments during these periods is given in Table 1. Although measurements were not made simultaneously at the different sites, the comparisons between sites show differences (or lack thereof) expected for primary and secondary aerosol components. For example, both EC and OC concentrations were highest at the RS site, compared to JST and YRK, which tended to be closer in magnitude. WSOC concentrations were similar



**Fig. 3.** Size distributions of (a) OC, (b) EC, (c) WSOC, (d) water-soluble absorption (365 nm), and (e) methanol-soluble absorption (365 nm) from MOUDI samples collected at JST, YRK and RS sites. The after filter is included as a size channel from 0.01 to 0.056 µm. The vertical line at 3.1 µm and all measurements below that size are viewed as fine particles. For JST and YRK the multiple measurements have been averaged. Geometric mean diameters and geometric standard deviations from lognormal fits to fine particles are included. Particle sizes are aerodynamic diameters.

among all three sites. OC/EC ratios were highest at the rural site and lowest at the RS site. These results are consistent with known high spatial variability in primary species, EC and some fraction of OC, and more uniformity in secondary species (e.g., WSOC) and high OC/EC ratios in regions dominated by secondary organic aerosols.

MOUDI size distributions for the individual species, including extract solutions of water-soluble (H<sub>2</sub>O<sub>Abs</sub>(365)) and methanol-soluble absorption at 365 nm (MeOH<sub>Abs</sub>(365)) at the three sites are shown in Fig. 3. Geometric mean aerodynamic diameters and standard deviations, based on lognormal fits to the data over fine particle ranges (i.e., MOUDI stages with cut sizes 3.1 µm and below), are included in the plots.

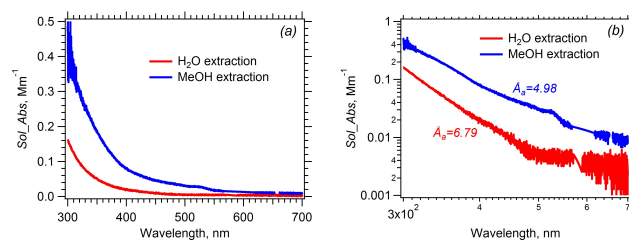
Like the online data, the size distributions of EC and OC were generally consistent among the five sets of samples collected at JST and YRK, but differed from the RS sample. RS OC and EC were significantly shifted to lower size ranges, similar to previous observations which show that fresh vehicular emissions are associated with smaller sizes. Aged carbonaceous particles (both OC and EC) tend to be larger due to accretion of mass, with typical urban carbonaceous aerosols often peaking at size ranges of 0.56–1.0 µm (Chow et al., 2008; Huang et al., 2006; Lan et al., 2011). In contrast, measurements of WSOC and brown carbon (both water and methanol extracts) had similar distributions among all three sites, including RS, with geometric mean diameters all near

0.5  $\mu\text{m}$ . Light absorption for the water and methanol extracts at other wavelengths ( $\text{H}_2\text{O\_Abs}(\lambda)$  and  $\text{MeOH\_Abs}(\lambda)$ ) had similar size distributions as those plotted and all were very similar to those of WSOC.

### 3.2.1 Water and methanol extract spectrophotometric results

Before calculating the light absorption due to the chromophores, the bulk solution properties for both water and methanol extractions are first discussed. Figure 4 shows typical spectra of water and methanol extracts from the same sample (JST MOUDI stage 6, aerodynamic diameter between 0.56 and 1.00  $\mu\text{m}$ ). Although the complete light-absorption spectra were recorded from 200 to 800 nm, signals below 300 nm and larger than 700 nm have a substantial amount of noise and therefore were not included in the analysis of optical properties. The absorption spectra are consistent in shape with other studies (e.g., Duarte et al., 2005; Sun et al., 2007; Hecobian et al., 2010; Chen and Bond, 2010; Zhang et al., 2013) of brown carbon and have the common characteristic of increasing absorbance with decreasing wavelength, with little to no absorption above mid-visible. These spectra are in stark contrast to black carbon absorption, with absorption Ångström exponents close to 1 (discussed further below) and confirm that light absorption is due to a different aerosol component (i.e., brown carbon). Table 3 compares light absorption in water and methanol extracts over fine particle sizes for all three sites (data averaged at each site) at different wavelengths. Comparisons from the table show a number of interesting features.

1. Light absorption by organic carbon extracted in water is always smaller than methanol extracts, although the value varies with wavelength and site. This is expected since methanol should extract a greater range of compounds than just the polar compounds dissolved by the water extraction process, as has been seen in other studies (Chen and Bond, 2010; Zhang et al., 2013).
2. Although absorption drops off with increasing wavelength,  $\text{MeOH\_Abs}(\lambda)$  decreased more slowly and so absorbs more at higher wavelengths than water extracts (water/methanol ratio is much smaller at 571 nm compared to lower wavelengths). This is similar to the findings of Zhang et al. (2013) and thought to be due to higher molecular weight chromophores exclusive to the methanol extract that absorb at higher wavelengths.
3. Mass absorption efficiencies ( $\alpha/\rho$ ) are higher at the RS site indicating the role of primary vehicle emissions as a brown carbon source (Hecobian et al., 2010; Zhang et al., 2011).
4. Surprisingly, fresher emissions at the RS site have a greater fraction of water-soluble chromophores com-



**Fig. 4.** Example solution spectra of  $\text{H}_2\text{O}$  and MeOH (methanol) extracts from the same MOUDI stage (JST, 25–27 May 2012, stage 6 with particle aerodynamic diameter between 0.56 and 1.0  $\mu\text{m}$ ). Absorption Ångström exponent is calculated by linear regression fit to  $\log \text{Abs}$  vs.  $\log \lambda$  in the wavelength range of 300–500 nm.

pared with the more aged regional JST and YRK samples. For example, water/methanol light-absorption ratios were higher than 0.53 at the RS site, but range between about 0.1 and 0.4 at JST and YRK, and  $(\alpha/\rho \text{ water})/(\alpha/\rho \text{ methanol})$  ratios were greater than 1.5 at RS, but less than 0.6 for JST and YRK. This may suggest that the chromophores become less water soluble with age, possibly due to chemical aging. However, because measurements at various sites were not made simultaneously, these contrasts are somewhat uncertain.

### 3.3 Light absorption from Mie calculations

#### 3.3.1 Comparison of predicted BC absorption based on EC size distributions to measured BC

To roughly gauge the validity of the MOUDI size-resolved Mie calculation (with its inherent assumptions) at predicting light absorption by aerosols, Mie theory was first used to estimate  $b_{\text{ap}}$  from MOUDI-EC data ( $b_{\text{ap\_EC}}$ ), which is then compared with the co-located, scattering corrected,  $b_{\text{ap}}$  by Aethalometer ( $b_{\text{ap\_Aeth}}$ ). First, Mie calculations were done at the MAAP wavelength of 670 nm, a wavelength where  $b_{\text{ap\_Aeth}}$  should not be affected by brown carbon (see Fig. 4), and where  $b_{\text{ap\_Aeth}}$  and  $b_{\text{ap\_MAAP}}$  are in close agreement. Later,  $b_{\text{ap\_EC}}$  is compared to  $b_{\text{ap\_Aeth}}$  over a range of wavelengths. The Mie calculation is based on the EC concentrations (NIOSH method, thermal EC) measured on each stage, an assumed refractive index of  $1.97-0.79i$ , (Bond and Bergstrom, 2006) and an EC density of  $1.8 \text{ g cm}^{-3}$  (Bond and Bergstrom, 2006). Light absorption ( $b_{\text{ap}}$ ) is calculated for each stage and the sum for the 3.1  $\mu\text{m}$  stage and below (plus after filter) is compared to  $b_{\text{ap\_Aeth}}$ , shown in Fig. 1. Five direct comparisons are possible from the JST and YRK studies (Aethalometer data is not available at the RS site).  $b_{\text{ap\_EC}}$  is in good agreement with the Aethalometer measurements, with a largest deviation of 27%. The mean  $\pm$  std dev of the MOUDI/Aeth  $b_{\text{ap}}$  ratio was  $1.00 \pm 0.20$ . The good agreement between  $b_{\text{ap\_EC}}$  and light-absorption data from optical instruments is similar to that found by Carrico et al. (2003),



**Table 3.** Values of absorption, absorption per mass  $\alpha/\rho$  (normalized by WSOC for water extracts and OC for methanol extracts) and the ratio between water and methanol extracts for samples collected at JST (urban), YRK (rural) and RS (road-side). Data are averages for all stages 3.1  $\mu\text{m}$  and below, plus the after filter. Unit of absorption (Abs) is  $\text{Mm}^{-1}$ , and for  $\alpha/\rho$  the unit is  $\text{m}^2 \text{g}^{-1}$  (see Eq. 5).

	Abs (water)				$\alpha/\rho$ (water)			
	350 nm	365 nm	450 nm	571 nm	350 nm	365 nm	450 nm	571 nm
JST	0.40	0.33	0.17	0.019	0.16	0.14	0.071	0.0078
YRK	0.46	0.32	0.18	0.019	0.19	0.13	0.072	0.0078
RS	1.75	1.27	0.24	0.04	0.73	0.53	0.10	0.016
	Abs (methanol)				$\alpha/\rho$ (methanol)			
	350 nm	365 nm	450 nm	571 nm	350 nm	365 nm	450 nm	571 nm
JST	0.99	1.34	0.64	0.20	0.29	0.39	0.19	0.060
YRK	1.33	1.39	0.66	0.18	0.39	0.41	0.19	0.054
RS	2.38	1.80	0.36	0.08	0.36	0.27	0.056	0.011
	Ratio of absorption (water/methanol)				Ratio of $\alpha/\rho$ (water/methanol)			
	350 nm	365 nm	450 nm	571 nm	350 nm	365 nm	450 nm	571 nm
JST	0.40	0.24	0.27	0.09	0.56	0.34	0.38	0.13
YRK	0.35	0.23	0.26	0.10	0.49	0.32	0.38	0.15
RS	0.74	0.71	0.67	0.53	2.03	1.96	1.79	1.45

which reported a model/measured ratio of  $1.12 \pm 0.36$ . The results suggest that it is not necessary to apply more complex internal mixtures and/or core/shell assumptions for particles to accurately estimate the light-absorption coefficient based on size distributions measurements of EC at longer visible wavelengths.

### 3.3.2 Uncertainties in Mie-predicted absorption

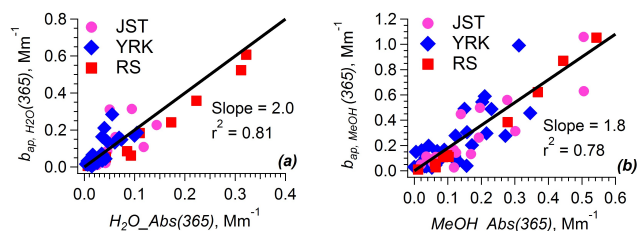
The sensitivity of predicted  $b_{\text{ap\_EC}}$  (and similarly for  $b_{\text{ap\_H}_2\text{O}}$  and  $b_{\text{ap\_MeOH}}$  discussed below) to the various measured and assumed parameters used in the calculation were assessed. Variability in particle density ( $\pm 10\%$ ), both directly in the Mie calculation and conversion of aerodynamic to physical diameter, the use of a single particle size for each MOUDI stage, sensitivity to the real part of the refractive index ( $m$  range of 1.4 to 2.0), and including variability in using carbon mass for  $M$  (discussed above), leads to an overall uncertainty in  $b_{\text{ap\_EC}}$  (and  $b_{\text{ap\_H}_2\text{O}}$  and  $b_{\text{ap\_MeOH}}$ ) of 30%, when combined by quadrature sum of squares (see Table 2). Including uncertainty associated with the EC measurement, the  $b_{\text{ap\_EC}}$  uncertainty is 34%. Thus, differences between online  $b_{\text{ap\_Aeth}}$  and  $b_{\text{ap\_EC}}$  of less than 27% are well within associated uncertainties ( $b_{\text{ap\_Aeth}} \pm 36\%$  and  $b_{\text{ap\_EC}} \pm 34\%$ ).

### 3.3.3 Brown carbon refractive index for Mie calculations

There is limited data on the complex component ( $k$ ) of the ambient particle refractive index due to brown carbon.  $k$  depends on wavelength, which accounts for the color, and can vary with particle size. Reported values range from 0.002 to

0.27 at wavelengths between roughly 500–550 nm (Dinar et al., 2008; Hoffer et al., 2006; Lukacs et al., 2007; Kirchstetter et al., 2004). Alexander et al. (2008) reported a  $k$  of 0.27 at 550 nm for aerosols in East Asian-Pacific outflow. Biomass burning HULIS extracted in water had a solution  $k$  of 0.0018 at 532 nm (Hoffer et al., 2006). Lack et al. (2012) reported a  $k$  value of 0.009 at 404 nm for aerosols originated from biomass burning plumes. Given the variety of locations, expected variability in aerosol sources and analytical methods used to determine  $k$ , wide variability in  $k$  may be expected.

Table 4 summarizes values of  $k$  determined from the MOUDI samples at the three sites for three wavelengths, and for each MOUDI stage (particle size). As noted, the  $k$  values reported here are referenced to organic carbon mass. As expected, wavelength has the largest effect on  $k$ . Variability in  $k$  was fairly small over the various MOUDI stages for water-soluble brown carbon. This is because size distributions for both water-soluble brown carbon absorption and WSOC concentration have a similar shape and so  $\alpha/\rho$  does not vary significantly among the MOUDI stages. More variability is seen in  $k$  for methanol-extractable brown carbon due to larger differences between  $\text{MeOH\_Abs}(\lambda)$  and OC distributions. Averaged over all fine particle sizes (average is determined by including a mass concentration weighting), for JST and YRK samples,  $k$  is  $0.0040 \pm 0.0011$  for water soluble and  $0.0082 \pm 0.0036$  for methanol soluble extracts at 350 nm. These values decrease to  $0.0002 \pm 0.0001$  and  $0.0009 \pm 0.0004$  at 571 nm, assuming the same particle density for both WSOC and OC of  $1.5 \text{ g cm}^{-3}$  (Carrico et al., 2003). These values are significantly higher at the RS site,



**Fig. 5.** Comparison of Mie-predicted absorption to solution absorption for (a) water extracts and (b) methanol extracts at 365 nm. Data are all fine stages from the 6 MOUDI samples collected. An orthogonal distance regression (ODR) fit was applied. The correlation did not change with either aerosol size or sampling sites suggesting a robust relationship. The slope and  $r^2$  given is for combined data.

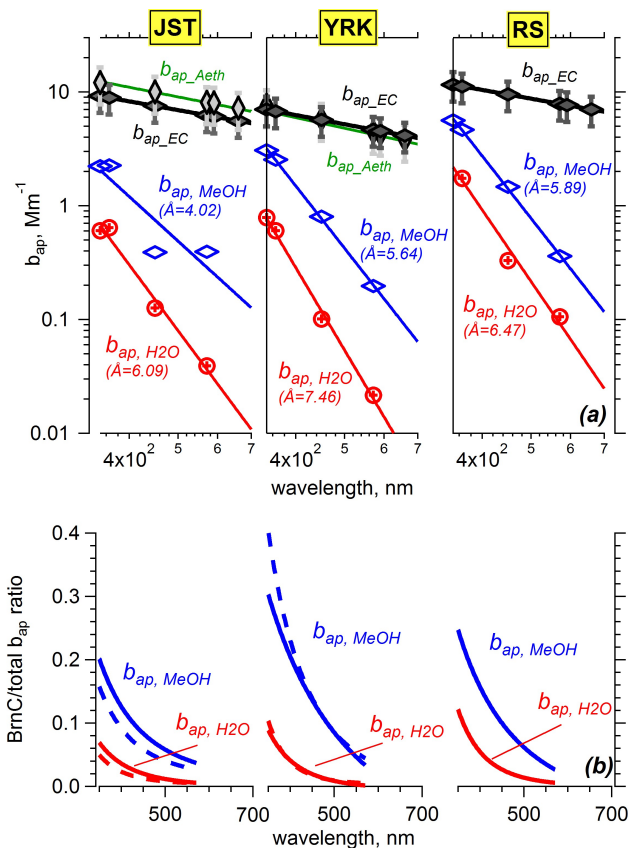
where there is a larger impact from primary vehicle emissions.

### 3.3.4 Water and methanol Mie results: brown carbon $b_{ap}$ solution vs. Mie-predicted light absorption

Figure 5 shows the comparisons between the absorption measured in the solutions at 365 nm ( $Abs(365)$ ) and Mie-predicted brown carbon light absorption by the particles for both water ( $b_{ap,H_2O}$ ) and methanol ( $b_{ap,MeOH}$ ) extracts at 365 nm. For both water and methanol extracts, the correlations are high and very similar among all three sampling sites. They also did not change significantly with aerosol size. Mie predicted  $b_{ap,H_2O}$  and  $b_{ap,MeOH}$  were factors of 2 and 1.8, respectively, times the bulk solution measurement (i.e.,  $b_{ap,H_2O}/H_2O\_Abs$  and  $b_{ap,MeOH}/MeOH\_Abs$ , at 365 nm). For higher wavelengths, the ratios were similar (e.g., 1.9 and 1.8, respectively, at 450 nm). Previous studies generally assume a small particle limit, where the particle size is not comparable to wavelength, when estimating particle light absorption from bulk solutions, in which case the factor is 0.69 to 0.75 (Nakayama et al., 2013; Sun et al., 2007). In contrast, our results suggest that because the ambient brown carbon aerosols are in the accumulation mode, the actual atmospheric absorption is approximately 2 times higher than what is estimated by bulk absorption in liquids and that this is a fairly robust conversion factor.

### 3.3.5 Comparison of brown carbon absorption to black carbon

Comparisons between calculated brown carbon absorption ( $b_{ap,H_2O}$  and  $b_{ap,MeOH}$ ) to that of EC absorption predicted by the Mie calculation ( $b_{ap,EC}$ ) and BC absorption measured by the Aethalometer ( $b_{ap,Aeth}$ ), for all three sites, are shown in Fig. 6a. The Mie calculations were made at the various Aethalometer measurement wavelengths. In each case, the  $b_{ap}$  data are fitted with a power law to provide the absorption Ångström exponents. Representative uncertainties are



**Fig. 6.** (a) Mie-predicted absorption of methanol-soluble brown carbon, water-soluble brown carbon, and BC absorption from EC data, and Aethalometer-measured BC absorption, all at the 7 wavelengths of the Aethalometer. (b) contribution of brown carbon (water soluble and methanol soluble) to total light absorption at JST, YRK and RS sites, where solid lines show the relative fraction to EC plus BrnC (e.g.,  $b_{ap,MeOH}/(b_{ap,MeOH} + b_{ap,EC})$ , or  $b_{ap,H_2O}/(b_{ap,H_2O} + b_{ap,EC})$ ), and dotted lines show the fraction relative to Aethalometer absorption (e.g.,  $b_{ap,MeOH}/b_{ap,Aeth}$  or  $b_{ap,H_2O}/b_{ap,Aeth}$ ). No Aethalometer data were available at the RS site. For JST and YRK, where multiple MOUDI measurements were made, averages are plotted. Error bars are based on calculations summarized in Table 2, where additional estimates of errors can be found.

included in the plot and summarized in Table 2. Data for Fig. 6a are provided in Table 5.

From Fig. 6a it is noted that differences in  $b_{ap,EC}$  between sites for the various  $\lambda$  is similar to what was discussed above for the EC concentrations. As a rural site, YRK  $b_{ap,EC}$  is somewhat lower compared to JST, and both were significantly lower than the RS site, which was almost twice the YRK level. Similar differences are seen for Brown carbon ( $b_{ap,H_2O}$  and  $b_{ap,MeOH}$ ), with highest absorption for the various  $\lambda$  at the RS site, and more similar levels at JST and YRK. Curiously,  $b_{ap,H_2O}$  and  $b_{ap,MeOH}$  at YRK were slightly higher than JST, although  $b_{ap,EC}$  was lower, suggesting a brown carbon source in the rural region.

**Table 4.** Derived absorbing component of the complex refractive index ( $k$ ) of brown carbon from MOUDI substrates extracted in water or methanol ( $H_2O\_Abs(\lambda)$  or  $MeOH\_Abs(\lambda)$ ). Tabulated values are  $k \times 10^3$ . Note that these refractive indices are assuming externally mixed aerosols composed of only WSOC or OC, i.e., they are calculated using WSOC and OC mass, see Eqs. (6) and (7).

$D_{p50}, \mu m$	JST						YRK						RS					
	350 nm		450 nm		571 nm		350 nm		450 nm		571 nm		350 nm		450 nm		571 nm	
	$H_2O$	MeOH	$H_2O$	MeOH	$H_2O$	MeOH	$H_2O$	MeOH	$H_2O$	MeOH	$H_2O$	MeOH	$H_2O$	MeOH	$H_2O$	MeOH	$H_2O$	MeOH
2.36	3.14	4.64	0.39	2.76	~0	0.78	2.58	3.23	0.90	0.83	0.29	0.21	13.58	3.79	1.96	0.67	0.31	0.12
1.34	2.66	4.91	0.69	2.62	0.38	0.49	2.55	6.71	0.93	1.89	0.16	0.51	14.93	7.58	2.16	1.34	0.34	0.25
0.75	5.16	5.32	1.12	3.20	0.22	1.13	4.67	7.30	1.16	2.18	0.16	0.64	14.03	8.36	2.03	1.48	0.32	0.27
0.42	4.36	10.38	1.74	4.55	0.10	1.46	5.71	14.54	1.26	4.66	0.18	1.47	14.70	12.48	2.12	2.20	0.34	0.41
0.24	3.67	8.91	0.90	4.25	0.26	1.54	3.52	11.95	0.91	3.64	0.12	1.00	15.37	8.05	2.22	1.42	0.35	0.26
0.13	3.23	7.23	0.58	2.88	0.30	0.99	4.59	10.94	0.87	3.66	0.28	1.09	12.48	1.63	1.80	0.29	0.29	0.05
0.07	3.57	3.41	1.75	2.56	0.31	0.55	5.79	14.12	0.45	4.46	0.33	1.16	10.03	1.33	1.45	0.23	0.23	0.04

**Table 5.** Values of Mie-calculated EC absorption ( $b_{ap\_EC}$ ), water-soluble fine particle brown carbon absorption ( $b_{ap\_H_2O}$ ), methanol-soluble fine particle brown carbon absorption ( $b_{ap\_MeOH}$ ), and scattering corrected Aethalometer absorption ( $b_{ap\_Aeth}$ ) for various wavelengths at all three sites. Units are  $Mm^{-1}$ . Data are averages for cases of multiple MOUDI measurements at a given site and are also plotted in Fig. 6.

Wavelength, nm	JST				YRK				RS		
	$b_{ap\_Aeth}$	$b_{ap\_EC}$	$b_{ap\_H_2O}$	$b_{ap\_MeOH}$	$b_{ap\_Aeth}$	$b_{ap\_EC}$	$b_{ap\_H_2O}$	$b_{ap\_MeOH}$	$b_{ap\_EC}$	$b_{ap\_H_2O}$	$b_{ap\_MeOH}$
350	12.11	9.18	0.60	2.22	7.62	6.99	0.79	3.07	11.57	NAN	5.62
365	NAN	8.88	0.64	2.26	NAN	6.76	0.60	2.54	11.20	1.74	4.65
450	9.97	7.53	0.13	0.39	5.78	5.68	0.10	0.80	9.49	0.33	1.47
571	8.08	6.24	0.04	0.39	4.45	4.66	0.02	0.20	7.86	0.11	0.36
590	8.03	6.08	BDL	BDL	4.29	4.53	BDL	BDL	7.66	BDL	BDL
660	7.20	5.56	BDL	BDL	3.79	4.13	BDL	BDL	7.01	BDL	BDL

NAN: no data; BDL: below detection limit

Comparing Mie-predicted EC absorption to the Aethalometer measurement shows that when multiple measurements at a given site were averaged,  $b_{ap\_EC}$  was systematically lower than  $b_{ap\_Aeth}$  by  $\sim 30\%$  at JST for all wavelengths, but the two were similar at YRK, over the 300–700 nm wavelength ranges (also see Table 5). This can also be seen in the comparison at 670 nm shown in Fig. 1. The cause of this is unknown. Bond and Bergstrom (2006) have suggested that Mie theory leads to an under-prediction of the mass absorption efficiency for EC due to interactions between the spherules that form the aggregate, but no such discrepancy is seen at YRK.

Absorption Ångström exponents for the Aethalometer data were  $0.95 \pm 0.02$  and  $0.91 \pm 0.03$  for JST and YRK (no Aethalometer data available at RS), respectively, ( $\pm$  is  $1\sigma$  regression uncertainty), and  $b_{ap\_EC}$  had similar values. These exponents are consistent with that of pure black carbon, where a value of  $\sim 1$  is typical (Kirchstetter et al., 2004). In contrast, the brown carbon had significantly higher absorption Ångström exponents, ranging from 4 to 6 for  $b_{ap\_MeOH}$  and 6 to 8 for  $b_{ap\_H_2O}$  among sampling sites. This is the typical spectral characteristic of brown carbon of increasing absorption with lower wavelength, and with little absorption at wavelengths greater than roughly 550 nm. Note that these absorption Ångström exponents from the Mie-predicted brown carbon absorption by particles ( $b_{ap}$ ) are similar to those of the bulk solution (Fig. 4 and see Zhang, et al., 2013, and ref-

erences therein) suggesting bulk solutions can reasonably be used to directly estimate particle absorption Ångström exponents.

Figure 6b shows the magnitude of  $b_{ap\_MeOH}$  and  $b_{ap\_H_2O}$  at different wavelengths relative to total absorption, with total ambient particle absorption estimated in two ways; (1) from the sum of  $b_{ap\_EC}$  plus water or methanol brown carbon (solid line), and (2) relative to just the Aethalometer data ( $b_{ap\_Aeth}$ , dotted line). The two estimates differed by typically less than 10%. These results suggest the contribution of brown carbon is approximately 5–10% relative to total absorption for water soluble, and 20–40% for methanol-soluble components at 350 nm. At larger wavelengths the fraction drops rapidly, reaching approximately zero near 550 nm.

Comparing these fractional contributions of brown carbon among the three sites it is seen that although levels of brown carbon at the RS were largest, due to primary emissions (EC and BC was also elevated), the fraction of brown to total light absorption was not correspondingly higher relative to the other sites. The highest fraction of brown carbon was at YRK, due to relatively high WSOC and OC concentrations with significantly lower EC concentrations (e.g., highest OC/EC ratios, see Table 2). The results suggest that from a relative point of view (but not in terms of absolute absorption), the role of brown carbon may be most important in regions not associated with strong EC sources, such as remote locations. Possible contributions from natural

**Table 6.** Comparison of estimated brown carbon contribution in the present study with previous reports.

Wavelength (nm)	brnC fraction	$b_{\text{ap}}$ ( $\text{Mm}^{-1}$ )	Location	Instrumentation/method	Reference
300	Up to 50 %	–	Rondônia, Brazil	PAS and Nephelometer+Mie	Hoffer et al. (2006)
400	~ 40 %	~ 200	Mexico City	Multi-Filter Rotating Shadowband Radiometer	Barnard et al. (2008)
400	~ 30 %	~ 80	Xianghe, China	PSAP, Aethalometer and Nephelometer	Yang et al. (2009)
550	~ 10%	~ 50	Xianghe, China		Yang et al. (2009)
440	~ 40 %	–	CA	AERONET dataset	Bahadur et al. (2012)
405	13 %	–	Los Angeles region, CA	Photoacoustic-spectroscopy	Cappa et al. (2012)
532	6 %	–	Los Angeles region, CA		Cappa et al. (2012)
400	10 to 30 %	10–15	YRK, JST, RS	Spectrophotometer measurements of liquid	This study, Methanol
500	5 to 10 %	8–12	YRK, JST, RS	extracts + Mie calculations	This study, Methanol

(biogenic) sources, or generation of secondary brown carbon due to chemical aging, may account for the higher proportion of brown to black carbon. It is noted that this study was not significantly impacted by biomass burning emissions, which are a known strong source for brown carbon.

### 3.3.6 Comparisons to other studies

The results of this direct measurement of brown carbon combined with a simple Mie calculation shows significant absorption at UV wavelengths relative to BC. Similar results have been reported from both observations and model simulations using a variety of methods to infer brown carbon  $b_{\text{ap}}$ . Comparisons are summarized in Table 6, where the fraction of brown carbon to total light absorption is listed along with typical  $b_{\text{ap}}$  values from the various studies to provide some measure of the aerosol loading for each location. Most of the studies are substantially more polluted compared to conditions during our observations, but generally the fraction of brown carbon light absorption is similar. Hoffer et al. (2006) estimated that the contribution of HULIS to light absorption was only a few percent in Amazonia biomass burning aerosols at 532 nm and 35–50 % at 300 nm. Biomass burning aerosols are known to have high mass absorption efficiencies (Hecobian et al., 2010; Lack et al., 2012) and this may account for the high values. Observations from more highly polluted urban areas, including Xianghe (China) and Mexico City have fractional levels of brown carbon to total absorption of 30 to 40 % at 400 nm, that decreases to 10 % at 550 nm (Barnard et al., 2008; Yang et al., 2009). Using column-integrated satellite data, Bahadur et al. (2012) also reported a similar fraction of brown carbon (~ 40 % of the EC at 440 nm) from 10 AERONET sites in CA. Cappa et al. (2012) reports lower fractions of brown carbon in Los Angeles, at 13 % at ~ 400 nm, by directly measuring the en-

hancement in aerosol absorption. Our results are similar, or slightly lower, compared to previous observations, but we were generally in cleaner environments.

## 4 Summary

Spectrophotometric measurements of fine particle extracts (Hecobian et al., 2010) have shown that light absorbing compounds with a strong wavelength dependence, and correlation with organic aerosol concentrations (Hecobian et al., 2010), are ubiquitous in the southeastern US. These chromophores are assumed to be components of the so-called brown carbon aerosol. In this study, a cascade impactor (MOUDI) was used to collect size-resolved aerosols on quartz filter substrates that were subsequently extracted in water and methanol and the light-absorption properties of the extracts analyzed. A total of six MOUDI samples were collected at locations that included a rural, central urban and roadside site. From these data, particle light absorption due solely from brown carbon was estimated using Mie calculations and the results were compared to optical absorption measurements made with Aethalometer and MAAP. Chromophores in MOUDI extracts at all sites were lognormally distributed and associated with the accumulation mode (~ 0.5  $\mu\text{m}$  geometric mean of aerodynamic diameter), an optically effective size. Mie-predicted light absorption by these particles was roughly two times greater than the bulk solution light absorption, over a range of wavelengths (350 to 571 nm) at all sites. This is significantly higher than the small particle limit used in other studies when estimating particle light absorption from bulk solutions (factor of ~ 0.7).

Brown carbon was optically important at wavelengths less than roughly 600 nm with a fractional absorption relative to total absorption between 5 and 10 % for water extracts and 20 and 40 % for methanol extracts at 350 nm. The highest

relative absorption was measured at the rural site where OC/EC ratios were highest, possibly indicating a secondary source for brown carbon that may be optically important.

Use of solvent extracts to estimate brown carbon  $b_{ap}$  has the advantage that it can be isolated and the light absorption measured directly with high spectral resolution, in contrast to direct measurements of wavelength-dependent absorption that must infer a black carbon wavelength dependent absorption. However, assumptions associated with Mie calculations presented in this study may lead to substantial uncertainty, such as assuming the brown carbon is externally mixed with other light absorbers, like EC. This means the method does not consider possible light-absorption enhancements due to particle morphology, such as shell-core configurations (Bond et al., 2006; Cappa et al., 2012; Lack et al., 2010). However, the extent of shell-core optical effects is unclear. Recent measurements in Los Angeles suggest that despite coatings on soot, there was little light enhancement (6% at 532 nm, Cappa et al., 2012).

A multi-wavelength Aethalometer did not show evidence for enhanced light absorption at lower wavelengths. Although the levels of brown carbon absorption are within the Aethalometer measurement uncertainty (35%), the discrepancy is worth exploring further to determine the cause; whether associated with the assumptions inherent in the solution absorption-Mie method applied here (i.e., the method possibly over-predicts brown carbon absorption) or related to Aethalometer operation/data interpretation limitations, limitations with our specific instrument. Many studies (e.g., Rizzo et al., 2011) have shown that Aethalometers detect brown carbon when the levels are high, such as in biomass burning plumes, but the instrument may have difficulty resolving brown from black carbon when relative levels are not greatly enhanced, a condition likely to be found frequently in the ambient troposphere. Repeating this study in an environment more heavily influenced by brown carbon would be useful.

## Appendix A

### Nomenclature

$b_{ap}$ :	Light-absorption coefficient for fine particles ( $M m^{-1}$ )
BC:	Black carbon
LOD:	limit of detection
WSOC, OC, EC:	water-soluble organic carbon, organic carbon and elemental carbon ( $\mu g C m^{-3}$ )
$\rho$ :	Particle density, a value of $1.5 g cm^{-3}$ is used
$\alpha/\rho$ :	Solution mass absorption efficiency, ( $m^2 g^{-1}$ )
$A_\lambda$ :	Light absorbance measured by the spectrophotometer (unitless)
Abs( $\lambda$ ):	Light absorption measured in a solution at wavelength $\lambda$ ( $M m^{-1}$ )
H <sub>2</sub> O_Abs( $\lambda$ ):	Light absorption measured in water extract at wavelength $\lambda$ ( $M m^{-1}$ )
MeOH_Abs( $\lambda$ ):	Light absorption measured in methanol extract at wavelength $\lambda$ ( $M m^{-1}$ )
$b_{ap\_EC}$ :	Mie predicted fine particle BC light absorption based on MOUDI EC ( $M m^{-1}$ ), wavelength is specified in text.
$b_{ap, H_2O}$ :	Mie predicted fine particle brown carbon absorption from MOUDI water extracts ( $M m^{-1}$ ), wavelength is specified in text.
$b_{ap, MeOH}$ :	Mie predicted fine particle brown carbon absorption from MOUDI methanol extracts ( $M m^{-1}$ ), wavelength is specified in text.
$b_{ap\_Aeth}$ :	Aethalometer ( $7-\lambda$ ) fine particle ( $PM_{2.5}$ ) light absorption with scattering correction ( $M m^{-1}$ ), wavelength is specified in text.
$b_{ap\_MAAP}$ :	Multi-angle absorption photometer (MAAP) fine particle measurement of light absorption ( $M m^{-1}$ ), operates at 670 nm.

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